

# Due Diligence Assistance Framework

Guidance material only - developed by UFIT  
Enhanced Due Diligence Working Group, Sept 2021

Lower risk  
Higher risk

Identify opportunities for global research collaboration

Consider the partner	Consider the technology
<p>Is your partner <b>open, transparent and verifiable</b>? <span>Yes/No</span></p>	<p>Does the technology have dual-use <b>military, intelligence, policing or security applications</b>? <span>No/Yes</span></p>
<p>Are you significantly <b>more advanced than your partner</b> in this field of research? <span>Yes/No</span></p>	<p>Does the technology have the capacity to significantly <b>enhance or pose risk to Australia's national interest</b>? <span>No/Yes</span></p>
<p>How does your partner's host country score on public <b>democracy, freedom, and corruption indices</b>? <span>High Scores/Low Scores</span></p>	<p>Is the technology a <b>sovereign capability</b>? <span>No/Yes</span></p>
<p>Has your partner demonstrated <b>respect for intellectual property rights</b>? <span>Yes/No</span></p>	<p>What is the technology's <b>timeframe to application</b>? <span>Later/Sooner</span></p>
<p>Do you have an <b>avenue of legal recourse</b> if your research is stolen or sabotaged? <span>Yes/No</span></p>	<p>Is the technology likely to <b>significantly advance the strategic or economic interests</b> of the host country of the research partner? <span>No/Yes</span></p>

More junior | More senior

Refer risk assessment and proposed mitigations to appropriate decision-maker

Risk mitigation and treatment proposals

Risk assessment

Evaluate risks

Assess risks

Identify risks

Consider legislative obligations and complementary schemes

Foreign Arrangements Scheme	Foreign Influence Transparency Scheme	Defence Export Controls	Sanctions
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